

Today

- PCP Composition
- Proof of the PCP Theorem.

CSS. 330.1 : PCP

Limits of Approximation Algorithms

Lecture 06 (2023-3-8)

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Recap PCP construction via LDT from last lecture.

Parameters of PCP constructed:

$$|S^m| \cong n ; \mathbb{F}; d = O(m|S|)$$

$$\text{Randomness} = O(m \log |\mathbb{F}|) = O(\log n)$$

$$\text{Proof length} = O(m |\mathbb{F}|^{2m}) = \text{poly}(n)$$

$$\text{Query Complexity} = O(m |\mathbb{F}|) = \text{poly} \log n$$

$$\text{Alphabet size} = |\mathbb{F}| \quad (\text{ie } \log |\mathbb{F}| \text{ in bit } \log \log n \text{ complexity})$$

$$\text{Decision Complexity} = \text{poly}(m |\mathbb{F}|) \quad \text{constant } \text{poly} \log n.$$

$$\text{Soundness Error} = \frac{9}{10} + O\left(\frac{m|S|}{|\mathbb{F}|}\right) \quad \delta(m |\mathbb{F}|) - \text{constant.}$$

$$\text{Parameter Setting: } |S| = O(\log n) \\ m = O(\log n / \log \log n)$$

$$S^m = \text{poly}(n) \Leftrightarrow m \log S = O(\log n)$$

$$\frac{m/S}{|F|} = \text{small constant} ; |F| = O(\log^2 n).$$

— Thus,

$$NP \subseteq \text{PCP}_{1,9/10} [O(\log n), \text{poly} \log n].$$

[ALMSS]

Above PCP has all desired parameters  
except for query complexity.

(Furthermore, the PCP can be constructed  
in poly time given the NP certificate)

But we previously constructed a Hadamard  
-based PCP

$$\text{SAT} \in \text{PCP}_{1,9/10} [O(n^2), 14]$$

— Summarizing:

$$\text{Robust PCP} + \text{PCP of proximity} = \text{PCP}$$

## Composition Theorem:

Assume the following two hold

(a)  $L$  has a robust PCP verifier  $V_{out}$   
w/ randomness complexity  $r_{out}(n)$   
query complexity  $q_{out}(n)$   
decision complexity  $d_{out}(n)$   
robust soundness error  $1 - \epsilon_{out}(n)$   
robustness parameter  $\rho_{out}(n)$

(b) CKT-VAL (two inputs: explicit ip  $C$   
implicit ip:  $x$ )  
has a PCP of proximity verifier  $V_{in}$   
w/ randomness complexity  $r_{in}(n)$   
query complexity  $q_{in}(n)$   
decision complexity  $d_{in}(n)$   
proximity parameter  $\delta_{in}(n)$   
soundness error  $1 - \epsilon_{in}(n)$

$$\epsilon (c) \quad \delta_{in}(d_{out}(n)) < \rho_{out}(n)$$

then  $L$  has composed PCP  $V_{comp}$   
randomness complexity  $r_{out}(n) + r_{in}(d_{out}(n))$   
query complexity  $q_{in}(d_{out}(n))$   
soundness error  $1 - \epsilon_{out}(n) \cdot \epsilon_{in}(d_{out}(n))$

Furthermore:

(a) If  $V_{out}$  is a PCP of proximity w/ parameter  $\delta_{out}(n)$ , so is  $V_{comp}$  (w/ the same proximity parameter)

(b) If  $V_{in}$  has robust soundness w/ robustness parameter  $\rho_{in}(n)$ , then so does  $V_{comp}$  w/ robustness parameter  $\rho_{in}(\delta_{out}(n))$ .

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Part II: Proof of the PCP Theorem

Inner verifier - PCP of Proximity Verifier.

Recall the Hadamard-based PCP construction

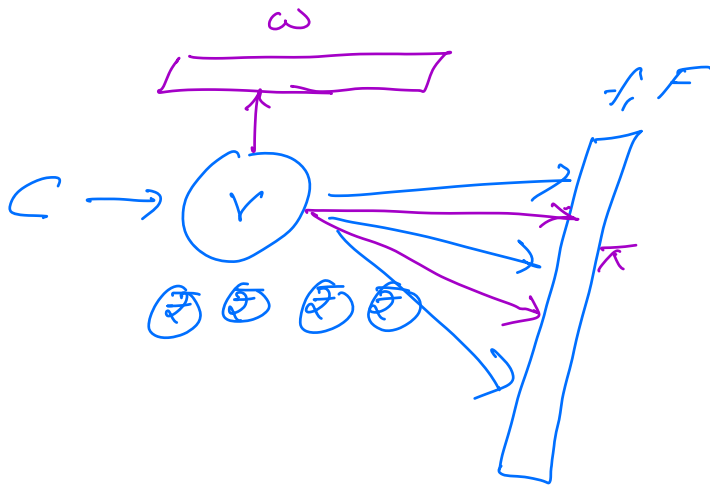
Soundness:  $\exists \delta_0 \in (0, 1)$ ,  $\forall \delta \leq \delta_0$

$$\Pr[V_{in}^{b,F} \text{ acc}] \geq 1 - \delta$$

$\Downarrow$

$\exists C(z) = 1$ , s.t.  $f$  is  $\delta$ -close to  $h_2$   
 $\wedge F$  is  $\delta$ -close to  $g_{quad_2}$ .

PCP of Proximity for Circuit-Value



$$\Pr[\text{Ver acc}] \geq 1 - \delta$$

$f$  is  $\delta$ -close to  $h_2$

(Hadamard encoding of  $z$ )

$z$  is a satisfying assignment

$$(c, C(z) = 1)$$

PCPP Verifier  $\omega, \tau(C)$

(1) Run the Hadamard-based PCP Verifier for  $C$ .

(2) Proximity Test

(a) Pick an  $i \in [|\omega|]$

(b) Pick  $x \in_R \{0,1\}^n$

(c) Accept if  $f(x + e_i) - f(x) = \omega_i$ .

Soundness: If  $\delta(\omega, z) \geq 3\delta$

$$\Pr[\text{Proximity Verifier rejects}] \geq \delta.$$

Conclusion:

$$CVal \in \text{PCPP}_{\frac{1}{10}} [O(n^2), 20, \frac{1}{100}]$$

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proximity parameter

Low degree test based PCP:

$$\text{SAT} \in \text{PCP}_{1, \frac{9}{10}} [O(\log n), \text{poly}(\log n)]$$

Qn: (1) Is this PCP robust?

(2) Is it robust even over the binary alphabet?

Recall PCP construction from last lecture

Verifier: (1) Pick  $l$  in  $\mathbb{F}^m$ ,  $l'$  in  $\mathbb{F}^{2m}$

(2) Query  $Q_1, Q_2, \dots, Q_m$  on  $l$   
 $z$  reject if any restriction is not low-degree

(3) Query  $P_1, \dots, P_m$  on  $l'$   
 $z$  reject if any restriction is not low-degree

(4) For each  $z \in l$ , reject if  
 $C(z-1)C(z-2)C(z-3) \neq \sum_{i=1}^m Q_i(z) Z_i(z)$

(5) For each  $z' \in l'$ , reject if  
 $E(z') \cdot \begin{pmatrix} x \\ y \end{pmatrix} \neq \sum_{i=1}^{2m} P_i(z) Z_i(z)$

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For starters, is the LDT robust

$$\Pr \delta(f, RM_F(m, d)) \geq \delta$$

$\Downarrow$  ???

$$\Pr_{\ell} \left[ \Pr_{\ell'} \left[ \delta(f_{\ell'}, RM_F(\ell, d)) \geq \delta/8 \right] \geq \epsilon \right] \dots (*)$$

In fact, we have:

$$\left. \begin{array}{l} \delta(f, RM_F(m, d)) \geq \delta \\ \Downarrow \\ \mathbb{E}_{\ell} \left[ \delta(f_{\ell}, RM_F(\ell, d)) \right] \geq \delta/4 \end{array} \right\} \begin{array}{l} \text{provided} \\ \delta \leq \delta_0 \\ = \text{poly}\left(\frac{d}{|F|}\right) \end{array}$$

Apply averaging argument to above to get (\*)

Robustify the Joint LDT

$$Q_1 \dots Q_m: F^m \rightarrow F$$

Run a LDT on all of them.

Bundle the Q's into one table

$$Q: \mathbb{F}^m \rightarrow \mathbb{F}^m$$

Standard LDT Soundness Claim

$$\mathbb{E}_\ell [\delta(f, RM_{\mathbb{F}}(\ell, d))] \geq \delta \Rightarrow \delta(f, RM_{\mathbb{F}}(m, d)) \geq 4\delta$$

What if  $f$  - vector of  $q$  functions.

$$f^{(q)}: \mathbb{F}^m \rightarrow \mathbb{F}^q$$

$$\mathbb{E}_\ell [\delta(f^{(q)}, RM_{\mathbb{F}}^{(q)}(\ell, d))] \geq \delta$$

$$\Downarrow$$

$$\delta(f^{(q)}, RM_{\mathbb{F}}^{(q)}(m, d)) \geq 4\delta$$

} Proof works even in this case.

Bundled Proof:

$$C: \mathbb{F}^m \rightarrow \mathbb{F}^{(m+1)}$$

$$P: \mathbb{F}^{2m} \rightarrow \mathbb{F}^{2m}$$

Verifiers: (1) Pick  $\ell$  in  $\mathbb{F}^m$ ,  $\ell'$  in  $\mathbb{F}^{2m}$

(2) Query  $C$  along  $\ell$   
 $P$  along  $\ell'$

(3) Check  $C|_\ell \in RM_{\mathbb{F}}^{(m+1)}(\ell, d)$



$$P|_e \in \mathbb{R}M_{\mathbb{F}}^{(2mr+1)}(1, d')$$

$$\textcircled{4} \text{ For each } z \in l \\ (C_0(z)-1)(C_0(z)-2)(C_0(z)) = \sum_{j=1}^m C_j(z) \cdot Z_j(z)$$

$$\textcircled{5} \text{ For each } z' \in l'$$

Conclusion: For every  $\epsilon < 1/4$ ,  $\exists \epsilon_p$

II  $3COL \in \text{robust}_{1, 1-\epsilon_p} [O(\log n), \text{poly}(\log n), \epsilon]$   
 $\hookrightarrow \text{robust}_{\text{res}}$

Composing Robust PCP from II w/ PCPP from I

$$3COL \in \text{PCP}_{1, 0.999} [\text{poly}(\log n), O(r)] \\ \text{exp in poly} \geq 2.$$

(i.e., outer reduction in query complexity is not small enough for composition w/ inner PCPP)

— Need an additional round of composition.

Will construct a LDT-based Robust PCPP.

Add a proximity test to the  
LDT-based robust PCP  
(using locally decodability  
of RM code)

This yields a  
Robust PCPP for 3COL-VALUE

An almost identical construction gives a  
similar robust PCPP for CVAL.

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III CVAL  $\in$  Robust-PCPP  $[\Omega(\log n), \text{poly}(\log n),$   
 $\frac{1}{10}, \frac{99}{100}]$   
↳ proximity  
↳ robustness  
parameter

Robust PCP + Robust PCPP + PCPP  
II III I

= PCP for 3COL

w/ the following parameters

Randomness Complexity =  $O(\log n)$

$$\begin{aligned} &+ O(\log \cdot \text{poly} \log n) \\ &+ O\left(\left(\text{poly} \log \log n\right)^2\right) \\ &= O(\log n) \\ \text{Query} &= O(1) \end{aligned}$$

